

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

STONEX GROUP INC. and STONEX  
FINANCIAL INC.,

Plaintiffs,

-against-

HOWARD SHIPMAN,

Defendant.

Case No. 23-cv-613 (JGK)

**NOTICE OF APPEARANCE**

**PLEASE TAKE NOTICE** that Susan Lee, Esq. of Carlson & Ryan LLP hereby appears as attorney for Defendant Howard Shipman and requests that all papers be served upon her and all communications herein be directed to her.

Dated: New York, New York  
February 23, 2023

Carlson and Ryan LLP

By: /s/ Susan Lee  
43 West 43<sup>rd</sup> St., suite 243  
New York, NY 10036-7424  
+1 (917) 734-3298  
[susan@carlsonryanlaw.com](mailto:susan@carlsonryanlaw.com)  
*Attorneys for Defendant*

**Certificate of Service**

Susan Lee, an attorney duly admitted to practice before this Court, certifies that on February 23, 2023 she caused her Notice of Appearance to be filed and served by ECF.

/s/ Susan Lee  
Susan Lee